

U.S. PTO
10/561293
12/20/2005

IAP10 Rec'd PCT/PTO 20 DEC 2004

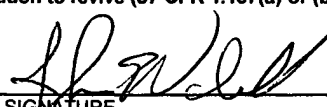
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER Boat-Tm
INTERNATIONAL APPLICATION NO. AU 20044900602	INTERNATIONAL FILING DATE February 10, 2004	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/561293
PRIORITY DATE CLAIMED February 10, 2004		
TITLE OF INVENTION BOAT LATCH		
APPLICANT(S) FOR DO/EO/US PAULINE HARDY AND GARTH NESBITT		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input checked="" type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input type="checkbox"/> Other items or information:</p>		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

122005

16367 U.S. PTO

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/561293		INTERNATIONAL APPLICATION NO. AM 200 449 00602		ATTORNEY'S DOCKET NUMBER Boat-TM	
The following fees have been submitted				CALCULATIONS PTO USE ONLY	
21. <input checked="" type="checkbox"/> Basic national fee..... \$300				\$ 300.00	
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$100 All other situations..... \$200				\$ 200.00	
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared and provided to the Office..... \$400 All other situations..... \$500				\$ 500.00	
TOTAL OF 21, 22 and 23 =				\$ 1,000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	- 20 =		x \$ 50	\$	
Independent claims	- 3 =		x \$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$	
TOTAL OF ABOVE CALCULATIONS =				\$	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$ 500.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				+	
TOTAL NATIONAL FEE =				\$ 500.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	
TOTAL FEES ENCLOSED =				\$	
				Amount to be refunded:	\$
				Amount to be charged:	\$ 500.00
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p>					
SEND ALL CORRESPONDENCE TO:					
John E. Vandigriff HAMMERLE FINLEY LAW FIRM 1660 S. Stemmons, Suite 300 Lewisville, Texas 75067			<p style="text-align: center;"> SIGNATURE John E. Vandigriff NAME 22,127 REGISTRATION NUMBER</p>		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Pauline Brenda Hardy and Garth Nesbitt

Filed: December 14, 2005

Examiner:

Serial No.:

Art Unit:

Title: Boat Latch

File: HAR101

I certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313-1450 on the date below.

9/13/06

Date: John E. Vandigriff, Reg. No. 22127

Hon. Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

On December 14, 2005, a patent application was mailed to the U.S. Patent Office by Express Mail. This is evidenced by the copy of the filing receipt No. HB222206699 US, a copy is enclosed. Also, tracking this mailing, the application was received by the USPTO on December 21, 2005 at 9:29 am, and was signed by S. Dyar. A copy of the Track & Confirm from the United States Postal Service is attached.

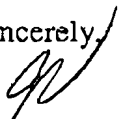
In a conversation with USPTO customer service, it was stated that there is no record of the receipt of the patent application. Based upon the above evidence, the application was received by the USPTO.

A copy of the original documents mailed to the USPTO are enclosed. Please enter this application and assign it the filing date of December 14, 2005.

A correspondence change of address is enclosed as the below attorney has changed address.

If additional information is required, please let me know.

Sincerely,



John E. Vandigriff
Reg: 22,127
Tel: 972-899-1942

10/561293

IAP20 Reg'd 1707770 20 DEC 2005

International Application No. AU 20044900602

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Pauline Brenda Hardy and Garth Nesbitt

Int'l File Date: February 10, 2004

Examiner:

Int'l App. No. AU20044900602

Art Unit:

Title: Boat Latch

File: Boat-TM

I certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop PCT, Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313-1450 on the date below.

Date: December 14, 2005


John E. Vandigriff/Reg. No. 22,127

CONTENTS

Enclosed:

1. Certified copy of International Application
2. Specification
- 2.. Declaration and Power of Attorney
- 3.. Check No. 0255 in the amount of \$500.00 for filing
4. Postal card

IAP10 Rec'd PCT/PTO 20 DEC 2009

10/561293

The PTO did not receive the following
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Commissioner L. P. L.

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EXHIBIT ME 101

The "Receiver" stamp of the Patent and Trademark Office Imprinted herein acknowledges the filing of:

<input checked="" type="checkbox"/> NEW APPLICATION (Previously filed) <input type="checkbox"/> DECLARATION <input type="checkbox"/> ASSIGNMENT <input type="checkbox"/> FORMAL DIVGS <input type="checkbox"/> INFORMATIONAL DIVGS <input type="checkbox"/> CONTINUATION APPN <input type="checkbox"/> DIVISION APPN <input checked="" type="checkbox"/> SMALL ENTITY AFFID.		<input checked="" type="checkbox"/> Request to File Local Application <input type="checkbox"/> EOT <input type="checkbox"/> NOTICE OF APPEAL <input type="checkbox"/> APPEAL <input type="checkbox"/> ISSUE FEE <input type="checkbox"/> Appeal Brief & Exhibits <input type="checkbox"/> Advanced Patent order <input type="checkbox"/> Check \$.00 Clickto	
NAME OF INVENTOR(S): Pauline Bienda Hardy/and Garth Nesbitt		RECEIPT DATE & SERIAL No.	
TITLE OF INVENTION Boat Latch			
FILE No.	HAR101	DEPOSIT ACCT. No.	
MLD: September 13, 2006 DUE		EXPRESS MAIL <input type="checkbox"/> RECEIPT No.	

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**CHANGE OF
CORRESPONDENCE ADDRESS
Application**Address to:
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Application Number	No Assigned
Filing Date	December 14, 2005
First Named Inventor	Pauline Brenda Hardy
Art Unit	
Examiner Name	
Attorney Docket Number	HAR101

Please change the Correspondence Address for the above-identified patent application to:

☐ The address associated with
Customer Number:

OR

☒ Firm or
Individual Name John E. Vandigriff

Address

906 Stone Circle Ln

City

Lewisville

State

Texas

Zip

75056

Country

U.S.A.

Telephone

972-899-1942

Email

johnvan@grandecom.net

This form cannot be used to change the data associated with a Customer Number. To change the
data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124).

I am the:

☐

Applicant/Inventor

☐

Assignee of record of the entire interest.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒

Attorney or agent of record. Registration Number 22,127

☐Registered practitioner named in the application transmittal letter in an application without an
executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____

Signature

Typed or Printed
Name

John E. Vandigriff

Date

September 13, 2006

Telephone

972-899-1942

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple
forms if more than one signature is required, see below*.☐

*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1-722-22628

In re the Application of: Pauline Brenda Hardy and Garth Nesbitt

Filed: December 14, 2005

Examiner:

Serial No.:

Art Unit:

Title: Boat Latch

File: HAR101

EXPRESS MAIL No. EB 205332858 US

I certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313-1450 on the date below.

December 26, 2006

Date: John E. Vandigriff, Reg. No. 22127

Hon. Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is a second correspondence inquiry into the filing of the above referenced application. I mailed a complete copy of the application on September 13, 2006, and have had no reply. A review of the enclosed material will show that the application was originally delivered to the Patent Office on December 21, 2005. The Patent Office has not acknowledged the original filing of the correspondence mailed on September 13, 2006.

Enclosed is a copy of all the application originally received by the Patent Office on December 21, 2005, a copy of the check in the amount of \$500 for filing the application, a copy of the return postal card sent with the September 13, 2006, mailing that was never returned, and copy of the Express mail tracking showing that the Patent Office did received the application on December 21, 2005.

This correspondence is being sent by Express mail and a return postal card is enclosed.

Sincerely,

John E. Vandigriff
Reg: 22,127
Tel: 972-899-1942

John E. Vandigriff

Attorney at Law
906 Stone Circle Ln
Lewisville, Texas 75056

Email
johnvan@grandecom.net

Tel: (972) 899-1942

Facsimile Transmission

TO: Cynthia Streeter
U.S.P.T.O.

FAX: 1-703-308-7884

Attached is a copy of the check used to file a patent application for
"BOAT LATCH".

Originally received by the Patent Office by Express mail on December
21, 2005.

This application has been sent to the patent Office three times.

Check 0255 for Boat Latch for \$500.

Pages including cover - 2